

EXISTENCE OF IDENTITIES IN $A \otimes B$

BY

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ABSTRACT

The following theorem is proved: if A and B are two PI -algebras over a field F , then $A \otimes_F B$ is a PI -algebra.

Introduction

Let F be a field, A and B two PI -algebras (algebras satisfying a polynomial identity). The problem whether also $A \otimes_F B$ satisfies a polynomial identity has been open for some time [1, pp. 228]. Procesi and Small [2] had proved that if $B = F_n$ is the algebra of all square matrices of order n over F , then $A \otimes_F F_n \cong A_n$ is a PI -algebra.

In this paper it is proved for arbitrary two PI -algebras A and B , that $A \otimes_F B$ is indeed a PI -algebra. For this purpose we go "back" to the free ring $F[x]$ and the T -ideal Q of identities of a PI -algebra. We define the sequence of co-dimensions $\{d_v\}$ of Q in $F[x]$. A careful study of $\{d_v\}$ shows that T -ideals in $F[x]$ are very large. As an application of the estimation of $\{d_v\}$ we have the theorem which asserts that the tensor product of two PI -algebras is again PI -algebra.

1. Basic notations and definitions

Let F be a field, $\{x\}$ an infinite set of non-commutative indeterminates. Denote the free ring in $\{x\}$ over F by $F[x]$. Let $\{x_n\}, \{y_n\} \subseteq \{x\}$ be fixed sequences of indeterminates. We denote by $V_n(x) = Sp\{x_{\sigma_1} \cdots x_{\sigma_n} \mid \sigma \in S_n\}$ the $n!$ dimensional vector space, spanned over F by the $n!$ monomials $x_{\sigma_1} \cdots x_{\sigma_n}, \sigma \in S_n$, where S_n is the group of permutations of $\{1, \dots, n\}$.

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Similarly, $V_n(y) = Sp\{y_{\sigma_1} \cdots y_{\sigma_n} \mid \sigma \in S_n\}$.

Let $1 \leq t \leq n$. Put:

$$V_n^{(t)}(x) = Sp\{x_{\sigma_1} \cdots x_{\sigma_n} = x_t x_{\sigma_2} \cdots x_{\sigma_n} \mid \sigma \in S_n\},$$

i.e. $V_n^{(t)}$ is the $(n-1)!$ dimensional subspace of V_n , spanned by those permutations $\sigma \in S_n$ which starts with $\sigma_1 = t$. It is obvious that $V_n = V_n^{(1)} \oplus V_n^{(2)} \oplus \cdots \oplus V_n^{(n)}$.

Now, let $0 \leq t \leq n-1$. We denote by $U_n^{(t)}(x) = V_n^{(t+1)}(x) \oplus V_n^{(t+2)}(x) \oplus \cdots \oplus V_n^{(n)}(x)$ the subspace of $V_n(x)$, spanned by those monomials $x_{\sigma_1} \cdots x_{\sigma_n}$, $\sigma \in S_n$ where $\sigma_1 \notin \{1, \dots, t\}$. We define also $U_n^{(n)}(x) = (0)$. $U_n^{(t)}(y)$ is defined similarly. It is obvious that $V_n^{(t)} \oplus U_n^{(t)} = U_n^{(t-1)}$, that $V_n = U_n^{(0)}$, and that $U_n^{(1)}(x) = Sp\{x_{\sigma_1} \cdots x_{\sigma_n} \mid \sigma \in S_n \text{ and } \sigma_1 \neq 1\}$.

It is well-known [1, p. 225] that if A is a *PI*-algebra over a field F , then A satisfies a minimal multilinear homogeneous identity

$$f(x_1, \dots, x_d) = \sum_{\sigma \in S_d} \alpha_{\sigma} x_{\sigma_1} \cdots x_{\sigma_d}$$

in which the coefficient of the monomial $x_1 \cdots x_d$ is 1. We use this remark as a starting point for our considerations.

Let $3 \leq d$ and let $f(x_1, \dots, x_d) \in F[x]$ be a homogeneous multilinear polynomial of degree d , in which the coefficient of x_1, \dots, x_d is 1. We write $f(x_1, \dots, x_d) = f_d(x_1, \dots, x_d)$.

DEFINITION 1.1. For any k , $2 \leq k \leq d$, let us define (by “decreasing” induction) a polynomial $f_k(x_1, \dots, x_k)$ as follows:

$$f_d(x_1, \dots, x_d) = f(x) \text{ has already been defined.}$$

Let $2 \leq k \leq d-1$ and assume $f_{k+1}(x_1, \dots, x_{k+1})$ has been defined. Then gather in $f_{k+1}(x)$ all those monomials which start with x_1 and write:

$$f_{k+1}(x_1, \dots, x_{k+1}) = x_1 f_k(x_2, \dots, x_{k+1}) + u_k(x_1, \dots, x_{k+1})$$

where $u_k(x_1, \dots, x_{k+1}) \in U_{k+1}^{(1)}(X)$. This relation defines $f_k(x_1, \dots, x_k)$.

NOTE. The proof (by induction) that the coefficient of the monomial x_1, \dots, x_k in $f_k(x_1, \dots, x_k)$ is 1, is trivial.

An ideal $Q \subseteq F[x]$ is *T*-ideal if $g(x_1, \dots, x_n) \in Q$ and $h_1, \dots, h_n \in F[x]$ implies that $g(h_1, \dots, h_n) \in Q$ [1, pp. 233–235].

DEFINITION 1.2. Let f_2, \dots, f_d be as in Definition 1.1. For $2 \leq k \leq d$ we denote the *T*-ideal generated by $f_k(x_1, \dots, x_k)$ in $F[x]$ by $P^{(k)}$. We also write

$$P^{(k)} \cap V_n(x) = P_n^{(k)}(x) = P_n^{(k)}.$$

DEFINITION 1.3. Let $2 \leq k \leq d$, $0 \leq l \leq n$. We define $P_{l,n}^{(k)}$, $P_{l,n}^{(k)} \subseteq P_n^{(k)}$, to be the sub-vector space of $P_n^{(k)}$ spanned by all polynomials

$$h(x_1, \dots, x_n) = af_k(g_1, \dots, g_k)b \text{ which satisfy:}$$

(1) a, b, g_1, \dots, g_k are monomials in some of the indeterminates x_1, \dots, x_n and $g_1, \dots, g_k \neq 1$.

(2) $af_k(g_1, \dots, g_k)b$ is homogeneous multilinear of degree n in x_1, \dots, x_n .

(3) None of x_1, \dots, x_i is a left divisor of any of the monomials g_1, \dots, g_k .

If x is a left divisor of a monomial g , we shall also say that g starts with x (from the left). So (3) means that:

$$\text{None of } g_1, \dots, g_k \text{ starts with any of } x_1, \dots, x_l.$$

If there is no such g_1, \dots, g_k , we shall write $P_{l,n}^{(k)} = (0)$. In fact we prove

LEMMA 1.4. If $n < k + l$, then $P_{l,n}^{(k)} = (0)$.

PROOF. If $af_k(g_1, \dots, g_k)b$ is a generator of $P_{l,n}^{(k)}$, then by Definition 1.3, (2), g_1, \dots, g_k start with k different x_i 's. But, by (3) they cannot start with x_1, \dots, x_l . Hence $n - l \geq k$ or $n \geq k + l$.

Therefore, if $n < l + k$, there are no generators and $P_{l,n}^{(k)} = (0)$ Q.E.D.

We note also that it follows from Definition 1.3 (3) that if $l \leq l'$ then

$$P_{l',n}^{(k)} \subseteq P_{l,n}^{(k)}.$$

DEFINITION 1.5. Let H be a T -ideal in $F[x]$ and let $0 < n$ be an arbitrary integer. The integer

$$d_n = \dim_F \frac{V_n(x)}{H \cap V_n(x)}$$

will be called "the co-dimension of order n of H ". $\{d_n\}$ is called "the sequence of co-dimensions of H ".

DEFINITION 1.6. Let $0 \leq l_1, \dots, l_{d-3}$ be any integers. Write

$$W(l_1, \dots, l_{d-3}, n) = W(l, n) = \sum_{\mu=1}^{d-3} P_{l, n}^{(d-\mu)}(x) + P_n^{(d)}(x).$$

We define a natural number $a(l_1, \dots, l_{d-3}, n) = a(l, n)$ as follows:

$$a(l, n) = \dim_F \frac{V_n + W(l, n)}{W(l, n)} = \dim_F \frac{V_n + \sum_{\mu=1}^{d-3} P_{l, n}^{(d-\mu)} + P_n^{(d)}}{\sum_{\mu=1}^{d-3} P_{l, n}^{(d-\mu)} + P_n^{(d)}}.$$

For $1 \leq t \leq n$ we also define:

$$a^{(t)}(l, n) = \dim_F \frac{V_n^{(t)} + U_n^{(t)} + W(l, n)}{U_n^{(t)} + W(l, n)}.$$

REMARK. The correspondence $x_i \rightarrow y_i$, $i = 1, \dots, n$ induces an isomorphism $\phi: V_n(x) \rightarrow V_n(y)$. Since $P^{(k)}$ is a T -ideal, it follows that

$$\phi(P_{l,n}^{(k)}(x)) = P_{l,n}^{(k)}(y).$$

Therefore the integers d_n , $a(l, n)$ and $a^{(t)}(l, n)$ are independent of the special sequences $\{x_v\}$ or $\{y_v\}$ used in the definition.

NOTE. We are interested with the co-dimension $d_n = \dim_F \frac{V_n + P_n^{(d)}}{P_n^{(d)}}$.

It can be said, roughly, that the integers $a(l_1, \dots, l_{d-3}, n) = a(l, n)$ form a lexicographically ordered way which enable us to pass from the commutative case to that of arbitrary identity of degree d . Note that the proof of Lemma 1.4 implies

$$a(n-2, \dots, n-2, n) = d_n, \text{ and similarly,}$$

$$a(n, \dots, n, n) = d_n.$$

Our "guide" in the lexicographic way is

$$\text{LEMMA 1.7.} \quad a(l, n) = \sum_{t=1}^n a^{(t)}(l, n).$$

PROOF. We have the following chain of vector spaces:

$$V_n = U_n^{(0)} = U_n^{(0)} + W(l, n) \supseteq U_n^{(1)} + W(l, n) \supseteq \dots \supseteq U_n^{(n)} + W(l, n) = W(l, n).$$

Hence, by using the fact that $U_n^{(t-1)} = V_n^{(t)} \oplus U_n^{(t)}$, we get:

$$\begin{aligned} a(l, n) &= \dim_F \frac{V_n + W(l, n)}{W(l, n)} = \sum_{t=1}^n \dim_F \frac{U_n^{(t-1)} + W(l, n)}{U_n^{(t)} + W(l, n)} \\ &= \sum_{t=1}^n \dim_F \frac{V_n^{(t)} + U_n^{(t)} + W(l, n)}{U_n^{(t)} + W(l, n)} = \sum_{t=1}^n a^{(t)}(l, n) \quad \text{Q.E.D.} \end{aligned}$$

REMARK. Let $0 \leq l_1 \leq \dots \leq l_{d-3} \leq n$. If $n-2 \leq l_v$, then $p_{l_v, n}^{(d-v)} = (0)$. To show this, note first that $v \leq d-3$, hence $3 \leq d-v$. Since $n-2 \leq l_v$ we have:

$$n < 3 + n - 2 \leq d - v + l_v, \text{ which,}$$

by Lemma 1.4 implies $P_{l_v, n}^{(d-v)} = (0)$.

Hence, if $n-1 \leq l_v$, we can replace l_v by $n-2 = h_v$ without changing $P_{l_v, n}^{(d-v)} = P_{n-2, n}^{(d-v)} = (0)$. Thus we introduce the following

DEFINITION 1.8. Let $l_0 = 0 \leq l_1 \leq \dots \leq l_{d-3} \leq n$ and write $(l_1, \dots, l_{d-3}) = l$. We define the sequence $h(l) = (h_1, \dots, h_{d-3})$ as follows: If $l_{d-3} \leq n-2$, put $h(l) = l$. If $n-1 \leq l_{d-3}$, let v be the index ($1 \leq v \leq d-3$) such that $l_{v-1} < n-1 \leq l_v$ and set: $h_1 = l_1, \dots, h_{v-1} = l_{v-1}, h_v = \dots = h_{d-3} = n-2$.

Obviously, $h_1 \leq \dots \leq h_{d-3}$. Moreover we have

PROPOSITION 1.9. Let $l_0 = 0 \leq l_1 \leq \dots \leq l_{d-3} \leq n$, and let

$$h = h(l) = (h_1, \dots, h_{d-3}).$$

Then

$$a(l_1, \dots, l_{d-3}, n) = a(h_1, \dots, h_{d-3}, n).$$

PROOF. By Definition 1.6 we have to show that

$$\sum_{\mu=1}^{d-3} P_{l_{\mu}, n}^{(d-\mu)} = \sum_{\mu=1}^{d-3} P_{h_{\mu}, n}^{(d-\mu)}.$$

If $l_{d-3} \leq n-2$ then $l = h(l)$. Suppose $n-1 \leq l_{d-3}$ and let $l_{v-1} < n-1 \leq l_v$. Then, by Definition 1.7, $h_{\mu} = l_{\mu}$, $1 \leq \mu \leq v-1$, $h_{\mu} = n-2$, $v \leq \mu \leq d-3$. Hence

$$\sum_{\mu=1}^{v-1} P_{l_{\mu}, n}^{(d-\mu)} = \sum_{\mu=1}^{v-1} P_{h_{\mu}, n}^{(d-\mu)}.$$

Moreover, by the preceding remark

$$\sum_{\mu=v}^{d-3} P_{l_{\mu}, n}^{(d-\mu)} = \sum_{\mu=v}^{d-3} P_{h_{\mu}, n}^{(d-\mu)} = (0),$$

hence

$$\sum_{\mu=1}^{d-3} P_{l_{\mu}, n}^{(d-\mu)} = \sum_{\mu=1}^{d-3} P_{h_{\mu}, n}^{(d-\mu)} \quad \text{Q.E.D.}$$

2. Raising commutativity

NOTATION. Let $M(x) = x_{\sigma_1} \dots x_{\sigma_n} \in V_n(x)$ be a monomial, and let $0 \leq k \leq n-1$. $n-k$ of the indices σ_v satisfy $\sigma_v \geq k+1$, and we denote them by $\mu_1, \mu_2, \dots, \mu_{n-k}$ according to the order of their appearance in $M(x)$. We denote the other x_{σ_v} , with $\sigma_v \leq k$, by x_{i_j} , according to their places after the $x_{\mu_j}'s$. With this notation we factorize $M(x)$ into $n-k+1$ blocks which—except the first one—start with some x_{μ_i} :

$$M(x) = (x_{0_1} \dots x_{0_{r_0}})(x_{\mu_1} x_{1_1} \dots x_{1_{-1}}) \dots (x_{\mu_s} x_{s_1} \dots x_{s_{r_s}})$$

where $s = n-k$, $\{x_{\mu_1}, \dots, x_{\mu_s}\} = \{x_{k+1}, \dots, x_n\}$ and

$$\{x_{0_1}, \dots, x_{0_{r_0}}, x_{1_1}, \dots, x_{1_{-1}}, \dots, x_{s_1}, \dots, x_{s_{r_s}}\} = \{x_1, \dots, x_k\}.$$

Note that $\{0_1, \dots, 0_{r_0}\}$ might be empty, in which case we shall write $x_{0_1} \cdots x_{0_{r_0}} = 1$ and $M(x) = 1 (x_{\mu_1} x_{1_1} \cdots x_{1_{-1}}) \cdots (x_{\mu_s} x_{s_1} \cdots x_{s_{r_s}})$. Note also that some of the r_i 's might be zero.

If $k \leq n - 2$, we correspond to $M(x)$ the sequence of $s - 1 = n - k - 1$ integers $q(M)$:

$$q(M) = (r_1, r_2, \dots, r_{s-1}).$$

NOTE. The sequence $q(M)$ "measure" the obstruction to $x_{\mu_1}, \dots, x_{\mu_{n-k}}$ to form a "connected" product $x_{\mu_1} \cdots x_{\mu_{n-k}}$ in $M(x)$. Hence we did not include the ends r_0 and r_s in $q(M)$.

The collection of all such sequences is partially ordered by the lexicographic order:

$(r_1, \dots, r_{s-1}) < (t_1, \dots, t_{s-1})$ if there exist $1 \leq v \leq s - 1$ such that

$$r_1 = t_1, \dots, r_{v-1} = t_{v-1} \text{ and } r_v < t_v.$$

THEOREM 2.1. Let $0 \leq k \leq n - 1$ and let $M(x) \in V_n(x)$ be a monomial. Then there exists $\delta \in F$ such that $M(x) \equiv \delta N(x) \pmod{P_{k,n}^{(2)}}$ where $N(x)$ is a monomial of the form

$$(*) \quad N(x) = x_{\tau_1} \cdots x_{\tau_j} (x_{k+1} \cdots x_n) x_{\tau_{j+1}} \cdots x_{\tau_k},$$

where

$$\{\tau_1, \dots, \tau_k\} = \{1, \dots, k\}.$$

PROOF. If $k = n - 1$ then $M(x)$ has the $(*)$ form and there is nothing to prove.

Suppose $0 \leq k \leq n - 2$. Then the proof is divided into two steps. The first step, (a), is the reduction of $q(M)$ into $(0) = (0, \dots, 0)$. The second (b), is the reordering of x_{k+1}, \dots, x_n into their natural order.

(a) Assume $q(M) > (0)$. We prove:

There exist $\alpha \in F$ and a monomial $R(x) \in V_n(x)$ such that $q(R) < q(M)$ and $M(x) \equiv \alpha R(x) \pmod{P_{k,n}^{(2)}}$.

Write as before:

$$M(x) = (x_{0_1} \cdots x_{0_{r_0}}) \cdots (x_{\mu_s} x_{s_1} \cdots x_{s_{r_s}}), \quad q(M) = (r_1, \dots, r_{s-1}), \quad s = n - k.$$

Since $0 < q(M)$, there exists $1 \leq j \leq s - 1$ such that $q(M) = (r_1, \dots, r_j, 0, \dots, 0)$, $r_j \neq 0$. Let $a(x) = (x_{0_1} \cdots x_{0_{r_0}}) \cdots (x_{\mu_{j-1}} x_{j-1_1} \cdots x_{j-1_{r_{j-1}}})$ be the first j blocks in $M(x)$, and let

$$b(x) = x_{\mu_{j+2}} x_{\mu_{j+3}} \cdots x_{\mu_{s-1}} (x_{\mu_s} x_{s_1} \cdots x_{s_{r_s}}) \text{ be the last } s - j - 1 \text{ blocks.}$$

Notice that if $j = 1$, then $a(x) = x_{0_1} \cdots x_{0_{r_0}}$. If $r_0 = 0$, $a(x) = 1$. Note also that if $j = s - 1$, then $b(x) = x_{s_1} \cdots x_{s_{r_s}}$.

We can now write:

$$M(x) = a(x)(x_{\mu_j} x_{j_1} \cdots x_{j_{r_j}}) x_{\mu_{j+1}} b(x).$$

Let $R(x) = a(x) x_{\mu_{j+1}} (x_{\mu_j} x_{j_1} \cdots x_{j_{r_j}}) b(x)$ be the monomial derived from $M(x)$ by permuting the two blocks $g_1 = x_{\mu_j} x_{j_1} \cdots x_{j_{r_j}}$ and $g_2 = x_{\mu_{j+1}}$.

Obviously, $q(R) = (r_1, \dots, r_{j-1}, 0, r_j, 0, \dots, 0)$. (If $j = s - 1$ then $q(R) = (r_1, \dots, r_{s-2}, 0)$). Hence $q(R) < q(M)$.

Now, $f_2(u, v) = uv - \alpha v u$. Therefore we can write:

$$M(x) - \alpha R(x) = a(x) f_2(x_{\mu_j} x_{j_1} \cdots x_{j_{r_j}}, x_{\mu_{j+1}}) b(x).$$

$g_1 = x_{\mu_j} \cdots x_{j_{r_j}}$ and $g_2 = x_{\mu_{j+1}}$ starts with x_{μ_j} and $x_{\mu_{j+1}}$.

Since $\mu_j, \mu_{j+1} > k$, g_1 and g_2 do not start with any of x_1, \dots, x_k , and therefore $M(x) - \alpha R(x) = a(x) f_2(g_1, g_2) b(x) \in P_{k,n}^{(2)}$.

We continue this procedure successively on $R(x)$ until we have $\beta \in F$ and a monomial $S(x) \in V_n(x)$ such that $M(x) \equiv \beta S(x) \pmod{P_{k,n}^{(2)}}$ and $q(S) = (0)$. Therefore

$$S(x) = x_{\tau_1} \cdots x_{\tau_j} (x_{\rho_1} \cdots x_{\rho_{n-k}}) x_{\tau_{j+1}} \cdots x_{\tau_k} \text{ where } \{\tau_1, \dots, \tau_k\} = \{1, \dots, k\}$$

and $\{\rho_1, \dots, \rho_{n-k}\} = \{k+1, \dots, n\}$.

(b) Let $S(x)$ be as above and let $T(x) = x_{\tau_1} \cdots x_{\tau_j} (x_{\rho_1} \cdots x_{\rho_{v+1}} x_{\rho_v} \cdots x_{\rho_{v-k}}) x_{\tau_{j+1}} \cdots x_{\tau_k}$ be a monomial derived from $S(x)$ by permuting two neighbouring variables in the block $x_{\rho_1} \cdots x_{\rho_{n-k}}$. We shall show that $S(x) \equiv \alpha T(x) \pmod{P_{k,n}^{(2)}}$. The proof is similar to that of part (a):

Write:

$$a(x) = x_{\tau_1} \cdots x_{\tau_j} x_{\rho_1} \cdots x_{\rho_{v-1}},$$

$$b(x) = x_{\rho_{v+2}} \cdots x_{\rho_{n-k}} x_{\tau_{j+1}} \cdots x_{\tau_k}.$$

Then: $S(x) - \alpha T(x) = a(x) f_2(x_{\rho_v}, x_{\rho_{v+1}}) b(x) \in P_{k,n}^{(2)}$

and $S(x) \equiv \alpha T(x) \pmod{P_{k,n}^{(2)}}$.

We apply this procedure in succession and reorder $x_{\rho_1}, \dots, x_{\rho_{n-k}}$ to their natural order $x_{k+1} \cdots x_n$, so that we have $\gamma \in F$ such that $S(x) \equiv \gamma N(x) \pmod{P_{k,n}^{(2)}}$ where

$$N(x) = x_{\tau_1} \cdots x_{\tau_j} (x_{k+1} \cdots x_n) x_{\tau_{j+1}} \cdots x_{\tau_k}.$$

Combining (a) and (b), we conclude that there exists $\delta \in F$ such that $M(x) = \delta N(x) \pmod{P_{k,n}^{(2)}}$ with $N(x)$ as above. Q.E.D.

Let $0 \leq k \leq n-1$ and let θ denote the one-to-one linear transformation induced by the correspondence: $y_1 \rightarrow x_1, \dots, y_k \rightarrow x_k$ and $y_{k+1} \rightarrow (x_{k+1} \cdots x_n)$:

$\theta: V_{k+1}(y) \rightarrow V_n(x)$. We can now re-write the preceding theorem in the following way:

THEOREM 2.2. *Let $0 \leq k \leq n-1$, then*

$$V_n(x) = \theta(V_{k+1}(y)) + P_{k,n}^{(2)}(x).$$

PROOF. Obviously, $V_n(x) \supseteq \theta(V_{k+1}(y)) + P_{k,n}^{(2)}(x)$.

The inverse inclusion follows from Theorem 1.1 and the fact that

$$N(x) = x_{\tau_1} \cdots x_{\tau_t} (x_{k+1} \cdots x_n) x_{\tau_{j+1}} \cdots x_{\tau_k} \in \theta(V_{k+1}(y)).$$

Q.E.D.

3. Recursive estimation for $a(l, n)$

Let $1 \leq t \leq n$. We shall use the short notation

$$(\hat{x}_t) = (x_1, \dots, \hat{x}_t, \dots, x_n) = (x_1, \dots, x_{t-1}, x_{t+1}, \dots, x_n).$$

Let $V_{n-1}(\hat{x}_t) = Sp\{x_{\sigma_1} \cdots x_{\sigma_{n-1}} \mid \{\sigma_1, \dots, \sigma_{n-1}\} = \{1, \dots, t-1, t+1, \dots, n\}\}$ denote the $(n-1)!$ space spanned by all $(n-1)!$ multilinear monomials in (\hat{x}_t) . Let ϕ be the isomorphism induced by the correspondence

$$y_1 \rightarrow x_1, \dots, y_{t-1} \rightarrow x_{t-1}, y_t \rightarrow x_{t+1}, \dots, y_{n-1} \rightarrow x_n.$$

Write:

$$\phi(P_{l,n-1}^{(k)}(y)) = P_{l,n-1}^{(k)}(\hat{x}_t), \quad \phi(P_{n-1}^{(d)}(y)) = P_{n-1}^{(d)}(\hat{x}_t).$$

It can be shown that $P_{l,n-1}^{(k)}(\hat{x}_t)$ is the subspace of $V_{n-1}(\hat{x}_t)$, generated by 0 and by all polynomials $af_k(g_1, \dots, g_k)b$ where:

(1') a, b, g_1, \dots, g_k are monomial in (\hat{x}_t) and $g_1, \dots, g_k \neq 1$.

(2') $af_k(g_1, \dots, g_k)b$ is homogeneous multilinear in (\hat{x}_t) .

(3') None of the g_i 's starts with any of the first l x_i 's from the sequence $x_1, \dots, \hat{x}_t, \dots, x_n$.

NOTE. these first l x_i 's are x_1, \dots, x_l in case $l < t$, and $x_1, \dots, x_{t-1}, x_{t+1}, \dots, x_{l+1}$ if $t \leq l$.

Since ϕ is an isomorphism, we can write for $0 \leq l_1 \leq \dots \leq l_{d-3} \leq n-1$.

$$a(l, n-1) = \dim_F \frac{V_{n-1}(\hat{x}_t) + \sum_{u=1}^{d-3} P_{l_u, n-1}^{(d-u)}(\hat{x}_t) + P_{n-1}^{(d)}(\hat{x}_t)}{\sum_{u=1}^{d-3} P_{l_u, n-1}^{(d-u)}(\hat{x}_t) + P_{n-1}^{(d)}(\hat{x}_t)}.$$

$V_n^{(t)}(x)$ is the subspace spanned by all monomials $x_{\sigma_1} \cdots x_{\sigma_n} = x_t x_{\sigma_2} \cdots x_{\sigma_n}$ where $\sigma_1 = t$. Hence $(\sigma_2, \dots, \sigma_n)$ is a permutation of $(1, \dots, \hat{t}, \dots, n)$, therefore

$$x_{\sigma_2} \cdots x_{\sigma_n} \in V_{n-1}(\hat{x}_t). \text{ Hence } V_n^{(t)}(x) = x_t V_{n-1}(\hat{x}_t).$$

For the spaces $P_{l,n-1}^{(k)}(\hat{x}_t)$ and $P_{n-1}^{(d)}(\hat{x}_t)$ we have

LEMMA 3.1. *Let $0 \leq k, l, n$ be any integers and let $1 \leq t \leq n$. Then*

$$(i) \quad x_t P_{l,n-1}^{(k)}(\hat{x}_t) \subseteq P_{l,n}^{(k)}(x) \text{ and}$$

$$x_t P_{n-1}^{(d)}(\hat{x}_t) \subseteq P_n^{(d)}(x)$$

$$(ii) \quad x_t P_{t-1,n-1}^{(k)}(\hat{x}_t) \subseteq P_{t-1,n}^{(k+1)}(x) + U_n^{(t)}(x).$$

PROOF. (i) If $P_{l,n-1}^{(k)}(\hat{x}_t) = (0)$, there is nothing to prove. Hence assume that $af_k(g_1, \dots, g_k)b \in P_{l,n-1}^{(k)}(\hat{x}_t)$ is a generator. We show that $x_t af_k(g_1, \dots, g_k)b \in P_{l,n}^{(k)}(x)$. Clearly $x_t af_k(g_1, \dots, g_k)b$ is homogeneous multilinear in x_1, \dots, x_n . $x_t a, b, g_1, \dots, g_k$ are monomials and $g_1, \dots, g_k \neq 1$. Therefore we have to show that g_1, \dots, g_k do not start with x_1, \dots, x_t . It is given that g_1, \dots, g_k do not start with the first l x_i 's from $(x_1, \dots, \hat{x}_t, \dots, x_n)$. If $l < t$, these are x_1, \dots, x_t —which was to be proved. If $t \leq l$, these are $x_1, \dots, \hat{x}_t, \dots, x_{t+1}$. But x_t does not appear at all in the g_i 's, hence they do not start with x_1, \dots, x_{t+1} and, in particular, not with x_1, \dots, x_t .

The inclusion $x_t P_{l,n-1}^{(k)}(\hat{x}_t) \subseteq P_{l,n}^{(k)}(x)$ follows by similar arguments.

(ii) Suppose $P_{t-1,n-1}^{(k)}(\hat{x}_t) \neq (0)$ and let $af_k(g_1, \dots, g_k)b \in P_{t-1,n-1}^{(k)}(\hat{x}_t)$ be one of the generators. As before, this means that the g_i 's are monomials $\neq 1$ in $x_1, \dots, \hat{x}_t, \dots, x_n$, which do not start with x_1, \dots, x_{t-1} . Since x_t does not appear in the g_i 's, g_1, \dots, g_k can be considered as monomials in x_1, \dots, x_n which do not start with x_1, \dots, x_t .

By Definition 1.1 we have for any non-commutative indeterminates

$$y\text{'s: } f_{k+1}(y_1, \dots, y_{k+1})y = y_1 f_k(y_2, \dots, y_{k+1})y + u_k(y_1, \dots, y_{k+1})y$$

where $u_k(y_1, \dots, y_{k+1}) \in U_{k+1}^{(1)}(y)$. Specialize now $(y_1, \dots, y_{k+1}, y) \rightarrow (x_t a, g_1, \dots, g_k, b)$ to have the equality

$$f_{k+1}(x_t a, g_1, \dots, g_k)b = x_t af_k(g_1, \dots, g_k)b + u_k(x_t a, g_1, \dots, g_k, b).$$

Now, $u_k(y_1, \dots, y_{k+1}) \in U_{k+1}^{(1)}(y)$ implies that $u_k(y_1, \dots, y_{k+1})$ is a sum of monomials in y_1, \dots, y_{k+1} , none of which starts with y_1 , hence it starts with some y_j , $2 \leq j$. Therefore $u_k(x_t a, g_1, \dots, g_k)$ is a sum of monomials, each of which starts with one of the g_i 's. Since $g_1, \dots, g_k \neq 1$ and they do not start with x_1, \dots, x_t , it follows that

none of the monomials of $u_k(x_1 a, g_1, \dots, g_k) b$ starts with any of x_1, \dots, x_t . Since obviously $u_k(x_1 a, g_1, \dots, g_k) b \in V_n(x)$ we have that $u_k(x_1 a, g_1, \dots, g_k) b \in U_n^{(t)}(x)$.

To show that $f_{k+1}(x_1 a, g_1, \dots, g_k) b \in P_{t-1, n}^{(k+1)}(x)$, notice that it is a multilinear homogeneous polynomial in x_1, \dots, x_n , that $1, b, x_1 a, g_1, \dots, g_k$ are monomials and that $x_1 a, g_1, \dots, g_k \neq 1$. Hence we have only to show that none of $x_1 a, g_1, \dots, g_k$ starts with any of x_1, \dots, x_{t-1} . This is obvious since we are given that the g_i 's do not start with x_1, \dots, x_t and $x_1 a$ do not start with x_1, \dots, x_{t-1} .

Finally we have:

$$\begin{aligned} x_t a f_k(g_1, \dots, g_k) b &= f_{k+1}(x_1 a, g_1, \dots, g_k) b - u_k(x_1 a, g_1, \dots, g_k) b \in \\ &\in P_{t-1, n}^{(k+1)}(x) + U_n^{(t)}(x) \end{aligned}$$

Q.E.D.

In the following Lemmas 3.2, 3.4 and 3.5 we shall constantly use the following trivial:

REMARK. Let $C \subseteq B \subseteq A$ be three finite dimensional vector spaces. Then

$$\dim \frac{A+B}{B} \leq \dim \frac{A+C}{C}$$

LEMMA 3.2. Let $l_0 = 0 \leq l_1 \leq \dots \leq l_{d-3} \leq n$ and let $l_{v-1} \leq t$ for some $1 \leq v \leq d-3$. Then

$$a^{(t)}(l_1, \dots, l_v, \dots, l_{d-3}, n) \leq a(l_1, \dots, l_{v-1}, t-1, l_{v+1}, \dots, l_{d-3}, n-1)$$

PROOF. Since $x_t V_{n-1}(\hat{x}_t) = V_n^{(t)}(x)$, it is obvious that left multiplication by x_t induces isomorphism of $V_{n-1}(\hat{x}_t)$ onto $V_n^{(t)}(x)$. Use this isomorphism to deduce the following equalities:

$$\begin{aligned} a &= a(l_1, \dots, l_{v+1}, \dots, l_{d-3}, n-1) \\ &= \dim_F \frac{V_{n-1}(\hat{x}_t) + \sum_{\mu \neq v} P_{l_{\mu}, n-1}^{(d-\mu)}(\hat{x}_t) + P_{t-1, n-1}^{(d-v)}(\hat{x}_t) + P_{n-1}^{(d)}(\hat{x}_t)}{\sum_{\mu \neq v} P_{l_{\mu}, n-1}^{(d-\mu)}(\hat{x}_t) + P_{t-1, n-1}^{(d-v)}(\hat{x}_t) + P_{n-1}^{(d)}(\hat{x}_t)} \\ &= \dim_F \frac{V_n^{(t)}(x) + \sum_{\mu \neq v} x_t P_{l_{\mu}, n-1}^{(d-\mu)}(\hat{x}_t) + x_t P_{t-1, n-1}^{(d-v)}(\hat{x}_t) + x_t P_{n-1}^{(d)}(\hat{x}_t)}{\sum_{\mu \neq v} x_t P_{l_{\mu}, n-1}^{(d-\mu)}(\hat{x}_t) + x_t P_{t-1, n-1}^{(d-v)}(\hat{x}_t) + x_t P_{n-1}^{(d)}(\hat{x}_t)} \dots (*) \end{aligned}$$

By Lemma 3.1. we have:

$$\begin{aligned} (i) \quad \sum_{\mu \neq v} x_t P_{l_{\mu}, n-1}^{(d-\mu)}(\hat{x}_t) &\subseteq \sum_{\mu \neq v} P_{l_{\mu}, n}^{(d-\mu)}(x) \text{ and} \\ x_t P_{n-1}^{(d)}(\hat{x}_t) &\subseteq P_n^{(d)}(x) \end{aligned}$$

$$(ii) \quad x_t P_{t-1, n-1}^{(d-v)}(\hat{x}_t) \subseteq P_{t-1, n}^{(d-v+1)}(x) + U_n^{(v)}(x).$$

By assumption, $l_{v-1} \leq t-1$, hence:

$$(iii) \quad P_{t-1, n}^{(d-(v-1))}(x) \subseteq P_{l_{v-1}, n}^{(d-(v-1))}(x) \subseteq \sum_{\mu \neq v} P_{l_{\mu}, n}^{(d-\mu)}(x).$$

Therefore:

$$x_t P_{t-1, n-1}^{(d-v)}(\hat{x}_t) \subseteq \sum_{\mu \neq v} P_{l_{\mu}, n}^{(d-\mu)}(x) + U_n^{(t)}(x) \subseteq \sum_{\mu=1}^{d-3} P_{l_{\mu}, n}^{(d-\mu)} + U_n^{(t)}.$$

Now, (i)—(iii) imply that the denominator in (*) is contained in

$$\sum_{\mu=1}^{d-3} P_{l_{\mu}, n}^{(d-\mu)} + P_n^{(d)} + U_n^{(t)}.$$

Hence it follows by the preceding remark that

$$a \geq \dim_F \frac{V_n^{(t)} + U_n^{(t)} + \sum_{\mu=1}^{d-3} P_{l_{\mu}, n}^{(d-\mu)} + P_n^{(d)}}{U_n^{(t)} + \sum_{\mu=1}^{d-3} P_{l_{\mu}, n}^{(d-\mu)} + P_n^{(d)}} = a^{(t)}(l_1, \dots, l_{d-3}, n). \quad \text{Q.E.D.}$$

Let $t \leq n-1$. The correspondence

$y_1 \rightarrow x_1, \dots, y_{t-1} \rightarrow x_{t-1}, y_t \rightarrow (x_{t+1} \cdots x_n)$ induces a monomorphism $\xi: V_t(y) \rightarrow V_{n-1}(\hat{x}_t)$ of $V_t(y)$ into $V_{n-1}(\hat{x}_t)$. Let $\eta: V_{n-1}(\hat{x}_t) \rightarrow V_n^{(t)}(x)$ denote the isomorphism obtained by left multiplication by x_t , and let $\psi = \eta \circ \xi$. With this notation we have

LEMMA 3.3.

- (1) $V_n^{(t)}(x) \subseteq \psi(V_t(y)) + P_{t-1, n}^{(3)}(x) + U_n^{(t)}(x)$
- (2) $\psi(P_{l, t}^{(k)}(y)) \subseteq P_{l, n}^{(k)}(x)$ and $\psi(P_t^{(k)}(y)) \subseteq P_n^{(k)}(x)$.

PROOF. (1) Theorem 2.2 asserts that

$$V_n(x) = \theta(V_{k+1}(y)) + P_{k, n}(x).$$

Take $n-1$ instead of n , $t = k+1$ and ξ instead of θ , to conclude that

$$V_{n-1}(\hat{x}_t) = \xi(V_t(y)) + P_{t-1, n-1}^{(2)}(\hat{x}_t).$$

Multiply both sides by x_t and use Lemma 3.1, (ii) to get

$$V_n^{(t)}(x) \subseteq \psi(V_t(y)) + P_{t-1, n}^{(3)}(x) + U_n^{(t)}(x).$$

(2) Assume $P_{l, t}^{(k)}(y) \neq (0)$ and let $af_k(g_1, \dots, g_k)b$ be one of its generators. We show that

$$\psi(af_k(g_1, \dots, g_k)b) = x_t \xi(a) f_k(\xi(g_1), \dots, \xi(g_k)) \xi(b) \in P_{l,n}^{(k)}(x).$$

Denote $\bar{a} = \xi(a)$, $\bar{b} = \xi(b)$, $\bar{g}_i = \xi(g_i)$. It is obvious that $x_t \bar{a} f_k(\bar{g}_1, \dots, \bar{g}_k) \bar{b} \in P_n^{(k)}(x)$ and $\bar{g}_1, \dots, \bar{g}_k \neq 1$. Hence we have to show that none of $\bar{g}_1, \dots, \bar{g}_k$ starts with any of x_1, \dots, x_l .

By assumption, $P_{l,t}^{(k)}(y) \neq (0)$, hence $l + k \leq t$. Since $2 \leq k$, $l < t$. Hence $\xi: (y_1, \dots, y_l) \rightarrow (x_1, \dots, x_l)$.

Since the g_i 's do not start with y_1, \dots, y_l , it follows that the \bar{g}_i 's do not start with x_1, \dots, x_l , which was to be proved.

The inclusion $\psi(P_t^{(k)}(y)) \subseteq P_n^{(k)}(x)$ is obvious.

Q.E.D.

LEMMA 3.4. Let $0 \leq l_1 \leq \dots \leq l_{d-3} < t \leq n-1$, then

$$a^{(t)}(l_1, \dots, l_{d-3}, n) \leq a(l_1, \dots, l_{d-3}, t).$$

PROOF. Let $\psi = \eta \circ \xi$ be the above monomorphism. By Lemma 3.3 and the Remark which preceded Lemma 3.2 we have: $a = a(l, t)$

$$\begin{aligned} & V_t(y) + \sum_{\mu=1}^{d-3} P_{l_{\mu},t}^{(d-\mu)}(y) + P_t^{(d)}(y) \\ &= \dim_F \frac{\sum_{\mu=1}^{d-3} P_{l_{\mu},t}^{(d-\mu)}(y) + P_t^{(d)}(y)}{\sum_{\mu=1}^{d-3} P_{l_{\mu},t}^{(d-\mu)}(y) + P_t^{(d)}(y)} \\ &= \dim_F \frac{\psi(V_t(y)) + \sum_{\mu=1}^{d-3} \psi(P_{l_{\mu},t}^{(d-\mu)}(y)) + \psi(P_t^{(d)}(y))}{\sum_{\mu=1}^{d-3} \psi(P_{l_{\mu},t}^{(d-\mu)}(y)) + \psi(P_t^{(d)}(y))} \\ &\geq \dim_F \frac{\psi(V_t(y)) + \sum_{\mu=1}^{d-3} P_{l_{\mu},n}^{(d-\mu)}(x) + P_n^{(d)}(x)}{\sum_{\mu=1}^{d-3} P_{l_{\mu},n}^{(d-\mu)}(x) + P_n^{(d)}(x)} = b. \end{aligned}$$

Adding $U_n^{(t)}(x)$ to both nominator and denominator and using the above Remark we have

$$b \geq \dim_F \frac{\psi(V_t(y)) + U_n^{(t)}(x) + \sum_{\mu=1}^{d-3} P_{l_{\mu},n}^{(d-\mu)}(x) + P_n^{(d)}(x)}{U_n^{(t)}(x) + \sum_{\mu=1}^{d-3} P_{l_{\mu},n}^{(d-\mu)}(x) + P_n^{(d)}(x)} = c.$$

Now, $l_{d-3} \leq t-1$, hence $P_{t-1,n}^{(3)} \subseteq P_{l_{d-3},n}^{(3)}$, so that adding $P_{t-1,n}^{(3)}(x) + U_n^{(t)}(x)$ to the nominator does not change it. Therefore:

$$c = \dim_F \frac{[\psi(V_t(y)) + P_{t-1,n}^{(3)}(x) + U_n^{(t)}(x)] + U_n^{(t)} + \sum_{\mu=1}^{d-3} P_{l_{\mu},n}^{(d-\mu)}(x) + P_n^{(d)}(x)}{U_n^{(t)} + \sum_{\mu=1}^{d-3} P_{l_{\mu},n}^{(d-\mu)}(x) + P_n^{(d)}(x)} \geq$$

(by Lemma 3.3, (1))

$$\geq \dim_F \frac{V_n^{(t)}(x) + U_n^{(t)}(x) + \sum_{\mu=1}^{d-3} P_{l_{\mu},n}^{(d-\mu)}(x) + P_n^{(d)}(x)}{U_n^{(t)}(x) + \sum_{\mu=1}^{d-3} P_{l_{\mu},n}^{(d-\mu)}(x) + P_n^{(d)}(x)}$$

$$= a^{(t)}(l_1, \dots, l_{d-3}, n)$$

Q.E.D.

Let $V_{n-1} = V_{n-1}(x_1, \dots, x_{n-1}) = V_{n-1}(\hat{x}_n)$. Clearly, left multiplication by x_n induces an isomorphism of V_{n-1} onto $V_n^{(n)} = x_n V_{n-1}(\hat{x}_n)$. By Lemma 3.1, (i), $x_n P_{l_{n-1}}^{(k)}(\hat{x}_n) \subseteq P_{l_n}^{(k)}(x)$ and $x_n P_{n-1}^{(d)}(\hat{x}_n) \subseteq P_n^{(d)}$. Using these remarks we can prove:

LEMMA 3.5. Let $0 \leq l_1 \leq \dots \leq l_{d-3} \leq n$, then $a^{(n)}(l_1, \dots, l_{d-3}, n) \leq a(l_1, \dots, l_{d-3}, n-1)$.

PROOF.

$$a(l, n-1) = \dim_F \frac{V_{n-1}(\hat{x}_n) + \sum_{\mu=1}^{d-3} P_{l_{\mu},n-1}^{(d-\mu)}(\hat{x}_n) + P_{n-1}^{(d)}(\hat{x}_n)}{\sum_{\mu=1}^{d-3} P_{l_{\mu},n-1}^{(d-\mu)}(\hat{x}_n) + P_{n-1}^{(d)}(\hat{x}_n)}.$$

Left multiplication by x_n implies

$$a(l, n-1) = \dim_F \frac{V_n^{(n)}(x) + \sum_{\mu=1}^{d-3} x_n P_{l_{\mu},n-1}^{(d-\mu)}(\hat{x}_n) + x_n P_{n-1}^{(d)}(\hat{x}_n)}{\sum_{\mu=1}^{d-3} x_n P_{l_{\mu},n-1}^{(d-\mu)}(\hat{x}_n) + x_n P_{n-1}^{(d)}(\hat{x}_n)} = a$$

Use the preceding remarks and the fact that $U_n^{(n)} = (0)$, to obtain that the denominator of a is contained in $U_n^{(n)}(x) + \sum_{\mu=1}^{d-3} P_{l_{\mu},n}^{(d-\mu)}(x) + P_n^{(d)}(x)$. Hence

$$a(l, n-1) \geq \dim_F \frac{V_n^{(n)}(x) + U_n^{(n)}(x) + \sum_{\mu=1}^{d-3} P_{l_{\mu},n}^{(d-\mu)}(x) + P_n^{(d)}(x)}{U_n^{(n)}(x) + \sum_{\mu=1}^{d-3} P_{l_{\mu},n}^{(d-\mu)}(x) + P_n^{(d)}(x)}$$

$$= a^{(n)}(l, n)$$

Q.E.D.

As a corollary to Lemmas 3.2, 3.4 and 3.5 we have the following recursive estimation for the integers $a(l, n)$:

THEOREM 3.6. *Let $l_0 = 0 \leq l_1 \leq \dots \leq l_{d-3} \leq n-2$. Then*

$$\begin{aligned} a(l_1, \dots, l_{d-3}, n) &\leq \sum_{v=1}^{d-3} \sum_{t=l_{v-1}+1}^{l_v} a(l_1, \dots, l_{v-1}, t-1, l_{v+1}, \dots, l_{d-3}, n-1) \\ &+ \sum_{t=l_{d-3}+1}^{n-2} a(l_1, \dots, l_{d-3}, t) + 2a(l_1, \dots, l_{d-3}, n-1) \dots (**) \end{aligned}$$

REMARK. If $l_{v-1} = l_v$ then the summand $\sum_{t=l_{v-1}+1}^{l_v} a(l_1, \dots, l_{v-1}, t-1, l_{v+1}, \dots, l_{d-3}, n-1)$ is empty and equal zero. In the same way, if $l_{d-3} = n-2$, then $\sum_{t=l_{d-3}+1}^{n-2} a(l_1, \dots, l_{d-3}, t) = 0$. Note also that each summand in the right side of the inequality is of the form $a(s_1, \dots, s_{d-3}, k)$ where $0 \leq s_1 \leq \dots \leq s_{d-3} \leq k \leq n-1$.

PROOF OF THEOREM 3.6. By Lemma 1.6

$$\begin{aligned} a(l, n) &= \sum_{t=1}^n a^{(t)}(l, n) \\ &= \sum_{v=1}^{d-3} \sum_{t=l_{v-1}+1}^{l_v} a^{(t)}(l, n) + \sum_{t=l_{d-3}+1}^n a^{(t)}(l, n) \dots (1). \end{aligned}$$

In the summand $\sum_{t=l_{v-1}+1}^{l_v} a^{(t)}(l, n)$ we can apply Lemma 3.2 for $l_{v-1} < t \leq l_v$ to get:

$$\sum_{t=l_{v-1}+1}^{l_v} a^{(t)}(l, n) \leq \sum_{t=l_{v-1}+1}^{l_v} a(l_1, \dots, l_{v-1}, t-1, l_{v+1}, \dots, l_{d-3}, n-1)$$

so that:

$$\sum_{v=1}^{d-3} \sum_{t=l_{v-1}+1}^{l_v} a^{(t)}(l, n) \leq \sum_{v=1}^{d-3} \sum_{t=l_{v-1}+1}^{l_v} a(l_1, \dots, l_{v-1}, t-1, l_{v+1}, \dots, l_{d-3}, n-1).$$

Write:

$$\sum_{t=l_{d-3}+1}^n a^{(t)}(l, n) = \sum_{t=l_{d-3}+1}^{n-1} a^{(t)}(l, n) + a^{(n)}(l, n).$$

For $l_{d-3} < t \leq n-1$ we apply Lemma 3.4 so that

$$\sum_{t=l_{d-3}+1}^{n-1} a^{(t)}(l, n) \leq \sum_{t=l_{d-3}+1}^{n-1} a(l, t) = \sum_{t=l_{d-3}+1}^{n-2} a(l, t) + a(l, n-1).$$

Note that since we assume $l_{d-3} \leq n-2$, the first summand may be empty, but the last summand $a(l, n-1)$ will always appear.

Finally, we use Lemma 3.5 to obtain $a^{(n)}(l, n) \leq a(l, n-1)$. It follows therefore that

$$\sum_{t=l_{d-3}+1}^n a^{(t)}(l, n) \leq \sum_{t=l_{d-3}+1}^{n-2} a(l, t) + 2a(l, n-1) \quad \cdots \quad (3)$$

The Theorem now follows from (1), (2) and (3)

Q.E.D.

4. Numerical estimation for $a(l, n)$

Since $\dim_F V_1 = 1$, it is obvious that for any integers $0 \leq l_1 \leq \cdots \leq l_{d-3} \leq n$ we have $a(l, 1) \leq 1$. In particular, $a(0, \cdots, 0, 1) \leq 1$.

In order to be able to get an estimation for the integers $a(l, n)$ we define by induction another set of integers $A(l, n)$, for which we shall be able to estimate (**) of Theorem 3.6.

This is done as follows:

DEFINITION 4.1. Let $1 \leq n$ and let $l_0 = 0 \leq l_1 \leq \cdots \leq l_{d-3} \leq n$. Define by induction on n the integers $A(l_1, \cdots, l_{d-3}, n)$ as follows:

- 1) Let $n = 1$ and let $0 \leq l_1 \leq \cdots \leq l_{d-3} \leq 1$. Define $A(l_1, \cdots, l_{d-3}, 1) = 1$.
- 2) Assume that for any $t \leq n-1$ and a sequence $0 \leq s_1 \leq \cdots \leq s_{d-3} \leq t$, the integers $A(s_1, \cdots, s_{d-3}, t)$ have been defined. Let $0 \leq l_1 \leq \cdots \leq l_{d-3} \leq n$ and define $A(l_1, \cdots, l_{d-3}, n)$ as follows:

Case I. Let $l_{d-3} \leq n-2$. Put

$$\begin{aligned} A(l_1, \cdots, l_{d-3}, n) &= \sum_{v=1}^{d-3} \sum_{t=l_{v-1}+1}^{l_v} A(l_1, \cdots, l_{v-1}, t-1, l_{v+1}, \cdots, l_{d-3}, n-1) \\ &\quad + \sum_{t=l_{d-3}+1}^{n-2} A(l_1, \cdots, l_{d-3}, t) + 2A(l_1, \cdots, l_{d-3}, n-1) \end{aligned}$$

and note that each term is given by induction. Note also that some of the summands $\sum_{t=l_{v-1}+1}^{l_v} A(l_1, \cdots, t-1, \cdots, l_{d-3}, n-1)$ and $\sum_{t=l_{d-3}+1}^{l_v} A(l_1, \cdots, l_{d-3}, t)$ may equal zero.

Case II. Let $l_{d-3} \geq n-1$. Let $h(l) = (h_1, \cdots, h_{d-3})$ be the sequence defined in Definition 1.8. $A(h_1, \cdots, h_{d-3}, n)$ is now defined by case I and we set $A(l_1, \cdots, l_{d-3}, n) = A(h_1, \cdots, h_{d-3}, n)$.

Estimation for $A(l, n)$ is indeed an estimation for $a(l, n)$ because of

PROPOSITION 4.2. Let $0 \leq l_1 \leq \cdots \leq l_{d-3} \leq n$ then $a(l, n) \leq A(l, n)$.

PROOF. By induction on n . If $n = 1$, $a(l, 1) \leq 1 = A(l, 1)$.

Assume the proposition is valid for all sequences $0 \leq s_1 \leq \dots \leq s_{d-3} \leq t < n$. If $l_{d-3} \leq n-2$, use the inductive definition, case I—of $A(l, n)$ —and apply Theorem 3.6 to obtain $a(l, n) \leq A(l, n)$.

If $l_{d-3} \geq n-1$, use the sequence $h(l)$. By Proposition 1.9, $a(h(l), n) = a(l, n)$. Since $h_{d-3} \leq n-2$, it follows now that $a(h(l), n) \leq A(h(l), n)$. Hence:

$$a(l, n) = a(h(l), n) \leq A(h(l), n) = A(l, n). \quad \text{Q.E.D.}$$

LEMMA 4.3. Let $l_0 = 0 \leq l_1 \leq \dots \leq l_{d-3} \leq n-2$, then

$$A(l, n) \leq 3 \left[\sum_{v=1}^{d-4} \sum_{t=l_{v-1}+1}^{l_v} A(l_1, \dots, l_{v-1}, t-1, l_{v+1}, \dots, l_{d-3}, n-1) \right. \\ \left. + \sum_{t=l_{d-4}+1}^{l_{d-3}+1} A(l_1, \dots, l_{d-4}, t-1, n-1) \right] = B \quad \dots (*)$$

PROOF. Denote the right side of (*) by B , and note that B can also be written as follows:

$$B = 3 \left[\sum_{v=1}^{d-3} \sum_{t=l_{v-1}+1}^{l_v} A(l_1, \dots, t-1, \dots, l_{d-3}, n-1) + A(l_1, \dots, l_{d-3}, n-1) \right].$$

We prove that $A(l, n) \leq B$, using the second form of B .

Since $l_{d-3} \leq n-2$, we can use Case I of Definition 4.1, so that

$$A(l, n) = \sum_{v=1}^{d-3} \sum_{t=l_{v-1}+1}^{l_v} A(l_1, \dots, l_{v-1}, t-1, l_{v+1}, \dots, l_{d-3}, n-1) \\ + \sum_{t=l_{d-3}+1}^{n-2} A(l_1, \dots, l_{d-3}, t) + 2A(l_1, \dots, l_{d-3}, n-1) = \alpha + \beta + 2\gamma.$$

If $l_{d-3} = n-2$, the second summand β is zero: $\beta = \sum_{t=l_{d-3}+1}^{n-2} A(l, t) = 0$, so that $A(l, n) = \alpha + 2\gamma \leq 3(\alpha + \gamma)$ which was to be proved.

If $l_{d-3} \leq n-3$, the integer $\gamma = A(l, n-1)$ is also given by Definition 4.1, Case I—by substituting $n-1$ for n . Hence

$$\gamma = A(l, n-1) = \sum_{v=1}^{d-3} \sum_{t=l_{v-1}+1}^{l_v} A(l_1, \dots, t-1, \dots, l_{d-3}, n-2) \\ + \sum_{t=l_{d-3}+1}^{n-3} A(l_1, \dots, l_{d-3}, t) + 2A(l_1, \dots, l_{d-3}, n-2) = \alpha' + \beta' + 2\gamma'.$$

Note that $\beta' + \gamma' = \beta$. Hence

$$A(l, n) - \gamma = A(l, n) - A(l, n-1) = \alpha + \beta + 2\gamma - \alpha' - \beta' - 2\gamma' \\ = \alpha - \alpha' + 2\gamma - \gamma', \text{ so that}$$

$$A(l, n) = \alpha - \alpha' + 3\gamma - \gamma' \leq 3(\alpha + \gamma). \quad \text{Q.E.D.}$$

In the rest of this section we shall use the binomial coefficients $\binom{n}{k} = \frac{n!}{k!(n-k)!}$ to estimate $A(l, n)$.

We need the following properties of the binomial coefficients:

- a) It is well known that $\binom{n}{k} + \binom{n}{k+1} = \binom{n+1}{k+1}$.
- b) Let $0 \leq n, l$ be any integers, then by induction on l it follows that $\sum_{k=0}^l \binom{n+k}{n} = \binom{n+l+1}{n+1}$. In particular, since $\binom{n+l}{n}$ appears as one of the summands on the left side, it follows that $\binom{n+l}{n} \leq \binom{n+l+1}{n+1}$.
- c) If $0 \leq k, l, n$ and $k \leq l$, then $\binom{n+k}{n} \leq \binom{n+l}{n}$.
- d) It is well known that $\binom{2n}{n} \leq 4^n$ for all $0 \leq n$.

LEMMA 4.4. Let l_1, \dots, l_r, n be a set of non negative integers, then:

$$\begin{aligned} & \sum_{v=1}^{r-1} \binom{n+l_1}{n} \dots \binom{n+l_{v-1}}{n} \binom{n+l_v}{n+1} \binom{n+l_{v+1}}{n} \dots \binom{n+l_r}{n} \\ & \quad + \binom{n+l_1}{n} \dots \binom{n+l_{r-1}}{n} \binom{n+l_r+1}{n+1} \\ & \leq \binom{n+l_1+1}{n+1} \dots \binom{n+l_r+1}{n+1} \end{aligned}$$

PROOF. Denote the left side of the inequality by q . We prove the lemma by induction on r .

If $r = 1$, then, in fact, we have equality. Assume now the lemma is valid for $r - 1$. By using (a) and (b) of the previous remark we have:

$$\begin{aligned} q & \leq \left[\sum_{v=1}^{r-1} \binom{n+l_1}{n} \dots \binom{n+l_v}{n+1} \dots \binom{n+l_{r-1}}{n} \right] \\ & \quad + \left[\binom{n+l_1}{n} \dots \binom{n+l_{r-1}}{n} \right] \binom{n+l_r+1}{n+1} \\ & = \left[\sum_{v=1}^{r-2} \binom{n+l_1}{n} \dots \binom{n+l_v}{n+1} \dots \binom{n+l_{r-1}}{n} \right] \end{aligned}$$

$$\begin{aligned}
& + \left[\binom{n+l_1}{n} \cdots \binom{n+l_{r-2}}{n} \binom{n+l_{r-1}}{n+1} \right. \\
& \quad \left. + \binom{n+l_1}{n} \cdots \binom{n+l_{r-1}}{n} \right] \binom{n+l_r+1}{n+1} \\
& = \left\{ \left(\sum_{v=1}^{r-2} \binom{n+l_1}{n} \cdots \binom{n+l_v}{n+1} \cdots \binom{n+l_{r-1}}{n} \right) \right. \\
& \quad \left. + \binom{n+l_1}{n} \cdots \binom{n+l_{r-2}}{n} \binom{n+l_{r-1}+1}{n+1} \right\} \binom{n+l_r+1}{n+1} \\
& \leq \left\{ \binom{n+l_1+1}{n+1} \binom{n+l_{r-1}+1}{n+1} \right\} \binom{n+l_r+1}{n+1} \text{ by induction} \quad \text{Q.E.D.}
\end{aligned}$$

THEOREM 4.5. Let $0 \leq l_1 \leq \cdots \leq l_{d-3} \leq n$, then

$$A(l_1, \dots, l_{d-3}, n) \leq 3^n \binom{n+l_1}{n} \cdots \binom{n+l_{d-3}}{n}$$

PROOF. By induction on n . If $n = 1$, then

$$A(l, 1) = 1 < 3 \leq 3^1 \binom{1+l_1}{1} \cdots \binom{1+l_{d-3}}{1}$$

Assume that for any set of integers s_1, \dots, s_{d-3} such that $0 \leq s_1 \leq \cdots \leq s_{d-3} \leq n$, we have $A(s_1, \dots, s_{d-3}, n) \leq 3^n \binom{n+s_1}{n} \cdots \binom{n+s_{d-3}}{n}$.

We show that under the induction assumption, if $0 \leq l_1 \leq \cdots \leq l_{d-3} \leq n+1$, then

$$A(l, n+1) \leq 3^{n+1} \binom{n+1+l_1}{n+1} \cdots \binom{n+1+l_{d-3}}{n+1}.$$

Case I. Suppose $l_{d-3} \leq (n+1) - 2 = n-1$. Using Lemma 4.3 (with the substitution of $n+1$ for n) and the hypothesis of the induction, we have

$$\begin{aligned}
& A(l_1, \dots, l_{d-3}, n+1) \\
& \leq 3 \left[\sum_{v=1}^{d-4} \sum_{t=l_{v-1}+1}^{l_v} A(l_1, \dots, t-1, \dots, l_{d-3}, n) + \sum_{t=l_{d-4}+1}^{l_{d-3}+1} A(l_1, \dots, l_{d-4}, t-1, n) \right] \\
& \leq 3^{n+1} \left[\sum_{v=1}^{d-4} \sum_{t=l_{v-1}+1}^{l_v} \binom{n+l_1}{n} \cdots \binom{n+l_{v-1}}{n} \binom{n+t-1}{n} \binom{n+l_{v+1}}{n} \right. \\
& \quad \left. \cdots \binom{n+l_{d-3}}{n} \right]
\end{aligned}$$

$$\begin{aligned}
& + 3^{n+1} \left[\sum_{t=l_{d-4}+1}^{l_{d-3}+1} \binom{n+l_1}{n} \cdots \binom{n+l_{d-4}}{n} \binom{n+t-1}{n} \right] \\
& \leq 3^{n+1} \left\{ \sum_{v=1}^{d-4} \left[\sum_{t=1}^{l_v} \binom{n+t-1}{n} \right] \binom{n+l_1}{n} \cdots \binom{n+l_{v-1}}{n} \binom{n+l_{v+1}}{n} \right. \\
& \quad \left. \cdots \binom{n+l_{d-3}}{n} \right\} \\
& + 3^{n+1} \left[\sum_{t=1}^{l_{d-3}+1} \binom{n+t-1}{n} \right] \binom{n+l_1}{n} \cdots \binom{n+l_{d-4}}{n} \\
& = 3^{n+1} \left[\sum_{v=1}^{d-4} \binom{n+l_1}{n} \cdots \binom{n+l_{v-1}}{n} \binom{n+l_v}{n+1} \binom{n+l_{v+1}}{n} \cdots \binom{n+l_{d-3}}{n} \right] \\
& + 3^{n+1} \binom{n+l_1}{n} \cdots \binom{n+l_{d-4}}{n} \binom{n+l_{d-3}+1}{n+1} \\
& \leq 3^{n+1} \binom{n+l_1+1}{n+1} \cdots \binom{n+l_{d-3}+1}{n+1}
\end{aligned}$$

by property (b) of the binomial coefficients and by Lemma 4.4.

Case 2. If $n \leq l_{d-3}$, then, according to Definition 4.1, $A(l, n+1) = A(h, n+1)$ where $h = h(l)$ satisfies $h_v \leq l_v$ for all $1 \leq v \leq d-3$ and $h_{d-3} \leq n-1$. Using Case I of this theorem and property (c) of the binomial coefficients we have:

$$\begin{aligned}
A(l, n+1) &= A(h, n+1) = A(h_1, \cdots, h_{d-3}, n+1) \\
&\leq 3^{n+1} \binom{n+h_1+1}{n+1} \cdots \binom{n+h_{d-3}+1}{n+1} \leq 3^{n+1} \binom{n+l_1+1}{n+1} \cdots \binom{n+l_{d-3}+1}{n+1}
\end{aligned}$$

Q.E.D.

THEOREM 4.6. Let d_n be the co-dimension of order n of the T -ideal $P^{(d)}$ generated by the identity $f(x_1, \cdots, x_d) = f_d(x_1, \cdots, x_d)$. Then, for every n , $d_n \leq (3 \cdot 4^{d-3})^n$.

PROOF. It was noted ("Note" after Definition 1.5) that $d_n = a(n, \cdots, n, n)$. Using Lemma 4.2, Theorem 4.5 and property (d) of the binomial coefficient we have:

$$\begin{aligned}
d_n &= a(n, \cdots, n, n) \leq A(n, \cdots, n, n) \\
&\leq 3^n \binom{n+n}{n} \cdots \binom{n+n}{n} = 3^n \cdot \binom{2n}{n}^{d-3} \leq (3 \cdot 4^{d-3})^n
\end{aligned}$$

Q.E.D.

THEOREM 4.7. *Let A be a PI-algebra and let $\{h_v\}$ be its sequence of co-dimensions. Assume that A satisfies a non trivial identity of degree d . Then, for all n , $h_n \leq (3.4^{d-3})^n$.*

PROOF. Let Q be the T -ideal of identities of A in $F[x]$ and let $0 \neq f(x_1, \dots, x_d) \in Q$ be a non trivial homogeneous multilinear identity for A . Let $P^{(d)}$ denote the T -ideal generated by $f(x_1, \dots, x_d)$ (Definition 1.1), then it is clear that $P^{(d)} \subseteq Q$. Let $\{d_v\}$ be the sequence of co-dimensions of $P^{(d)}$. Then:

$$h_n = \dim_F \frac{V_n}{Q \cap V_n} \leq \dim_F \frac{V_n}{P^{(d)} \cap V_n} = d_n.$$

Hence $h_n \leq d_n$ for all n . Therefore, by Theorem 4.6, $h_n \leq d_n \leq (3.4^{d-3})^n$
Q.E.D.

5. Applications: existence of identities in $A \otimes B$

In this section we use Theorem 4.7 to show that the tensor product of two PI-algebras is again PI-algebra. We begin with two

REMARKS. (i) Let A, B be two PI-algebras over a field F . The elements $\{a_i \otimes b_i \mid a_i \in A, b_i \in B\}$ are linear generators of $A \otimes_F B$. Hence, if $g(x_1, \dots, x_n)$ is a multilinear polynomial in x_1, \dots, x_n , then $g(x)$ is an identity for $A \otimes_F B$ if and only if for any sets $a_1, \dots, a_n \in A$ and $b_1, \dots, b_n \in B$, $g(a_1 \otimes b_1, \dots, a_n \otimes b_n) = 0$.

(ii) Let $Q \subseteq F[x]$ be the T -ideal of identities of a PI-algebra A , and let $\{d_v\}$ be its sequence of co-dimensions. If we write $Q \cap V_n = Q_n$ then, by Definition 1.4 we have: $d_n = \dim_F V_n / Q_n$. Now, the $n!$ monomials $\{x_{\sigma_1} \cdots x_{\sigma_n} \mid \sigma \in S_n\}$ span V_n , hence they generate also V_n modulo Q_n . Since the dimension of V_n modulo Q_n is d_n , there exist d_n monomials $M_1(x_1, \dots, x_n), \dots, M_{d_n}(x_1, \dots, x_n)$ which form a basis for V_n over Q_n . Hence, for any monomial $x_{\sigma_1} \cdots x_{\sigma_n} \in V_n$ there exist $\phi_i(\sigma) \in F$, $i = 1, \dots, d_n$, such that:

$$x_{\sigma_1} \cdots x_{\sigma_n} \equiv \sum_{i=1}^{d_n} \phi_i(\sigma) M_i(x_1, \dots, x_n) \pmod{Q_n}.$$

Since $Q_n \subseteq Q$, its elements are identities for A . It follows therefore that for any substitution $a_1, \dots, a_n \in A$, we have the equality:

$$a_{\sigma_1} \cdots a_{\sigma_n} = \sum_{i=1}^{d_n} \phi_i(\sigma) M_i(a_1, \dots, a_n), \quad \sigma \in S_n.$$

With this preliminary we prove

THEOREM 5.1. *Let A, B be two PI-algebras over a field F , then $A \otimes_F B$ is a PI-algebra.*

PROOF. Let $\{d_v\}$ be the sequence of co-dimensions of A , $\{h_v\}$ that of B . By Theorem 4.7 there exist real positive numbers k, l such that for all n , $d_n \leq k^n$ and $h_n \leq l^n$. It is well known that there exist n such that $k^n \cdot l^n < n!$, hence $d_n \cdot h_n < n!$.

We prove that for this n , $A \otimes_F B$ satisfies a non trivial multilinear homogeneous identity of degree n .

Let $M_1(x_1, \dots, x_n), \dots, M_{d_n}(x_1, \dots, x_n)$ be d_n monomials in x_1, \dots, x_n , and $\phi_i(\sigma) \in F$, $1 \leq i \leq d_n$, $\sigma \in S_n$, such that for any substitution $a_1, \dots, a_n \in A$ and $\sigma \in S_n$ we have $a_{\sigma_1} \cdots a_{\sigma_n} = \sum_{i=1}^{d_n} \phi_i(\sigma) M_i(a_1, \dots, a_n)$. (See the previous remark, (ii)).

Similarly, let $N_1(x_1, \dots, x_n), \dots, N_{h_n}(x_1, \dots, x_n)$ and $\psi_j(\sigma) \in F$, $1 \leq j \leq h_n$, $\sigma \in S_n$ be monomials and coefficients such that for any substitution $b_1, \dots, b_n \in B$ and $\sigma \in S_n$ we have: $b_{\sigma_1} \cdots b_{\sigma_n} = \sum_{j=1}^{h_n} \psi_j(\sigma) N_j(b_1, \dots, b_n)$.

Let $g(x_1, \dots, x_n) = \sum_{\sigma \in S_n} \alpha_\sigma x_{\sigma_1} \cdots x_{\sigma_n}$ be any multilinear polynomial with arbitrary coefficients $\{\alpha_\sigma\}$. Let $a_1, \dots, a_n \in A$, $b_1, \dots, b_n \in B$. Write

$$\begin{aligned} (*) \quad & g(a_1 \otimes b_1, \dots, a_n \otimes b_n) \\ &= \sum_{\sigma \in S_n} \alpha_\sigma (a_{\sigma_1} \otimes b_{\sigma_1}) \cdots (a_{\sigma_n} \otimes b_{\sigma_n}) \\ &= \sum_{\sigma \in S_n} \alpha_\sigma (a_{\sigma_1} \cdots a_{\sigma_n}) \otimes (b_{\sigma_1} \cdots b_{\sigma_n}) \\ &= \sum_{\sigma \in S_n} \alpha_\sigma \left(\sum_{i=1}^{d_n} \phi_i(\sigma) M_i(a) \right) \otimes \left(\sum_{j=1}^{h_n} \psi_j(\sigma) N_j(b) \right) \\ &= \sum_{i=1}^{d_n} \sum_{j=1}^{h_n} \left(\sum_{\sigma \in S_n} \phi_i(\sigma) \psi_j(\sigma) \alpha_\sigma \right) M_i(a) \otimes N_j(b). \end{aligned}$$

Consider the system of homogeneous linear equations $\sum_{\sigma \in S_n} \phi_i(\sigma) \psi_j(\sigma) \alpha_\sigma = 0$ for all i, j . This is a set of $d_n \cdot h_n$ equations with coefficients $\phi_i(\sigma) \psi_j(\sigma)$ and $n!$ unknown indeterminates α_σ 's. Since $d_n \cdot h_n < n!$, there exists a non trivial solution $\{\alpha_\sigma\}$ for this system. Clearly, (*) implies that $g(x_1, \dots, x_n) = \sum_{\sigma \in S_n} \alpha_\sigma x_{\sigma_1} \cdots x_{\sigma_n}$ is a non trivial identity for $A \otimes_F B$.

Q.E.D.

COROLLARY 5.2. *Let $0 < d, h$ be any integers, and let $n = n(d, h)$ be the minimal integer such that $(3 \cdot 4^{d-3} \cdot 3 \cdot 4^{h-3})^n < n!$*

Let A, B be two PI-algebras which, respectively, satisfy minimal identities of degree d and h . It follows from Theorem 4.7 and from the proof of Theorem 5.1,

that $A \otimes_F B$ satisfies an identity of degree n . This integer $n = n(d, h)$ is independent of the special algebras A and B , and depend only on the degrees of their minimal identities d and h .

Added in proof: By the same methods the following (more general) theorem can be proved.

THEOREM 1. *Let R be a commutative ring with an identity element, and let A, B be two R -algebras satisfying proper identities over R , then $A \otimes_R B$ satisfies a proper identity over R .*

Amitsur [*] has shown that if A satisfies a proper identity over R , then it also satisfies a multilinear identity with coefficients ± 1 and 0 . Thus A satisfies a proper identity over Z (the integers).

Replace F by Z , $F[x]$ by $Z[x]$, $sp_F\{\dots\}$ by $Sp_Z\{\dots\}$ etc. For instance, $V_n(x) = Sp_Z\{x_{\sigma_1} \cdots x_{\sigma_n} \mid \sigma \in S_n\}$ and $Q \subseteq Z[x]$ is the T -ideal of identities of A over Z . There exist an integer r , $1 \leq r \leq n!$, and monomials $M_1(x), \dots, M_r(x) \in V_n(x)$ such that M_1, \dots, M_r span (over Z) $V_n(x)$ modulo Q . Let d_n be the minimal such r . $\{d_n\}$ is the sequence of codimensions of A (over Z).

Now, the whole paper can be read again with these new notations to get the following result: for all n , $d_n \leq (3.4^{d+3})^n$.

We can now prove Theorem 1:

This is done by following the proof of Theorem 5.1 until we get the system of homogeneous linear equations

$$\sum_{\sigma \in S_n} \phi(\sigma_1) \psi_i(\sigma) \alpha_\sigma = 0,$$

now with integral coefficients. A reduced integral solution (which obviously exists) for the system gives us the desired proper identity.

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